

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 041-1894D	SERIAL NO. 09/917,956
APPLICANT Toshiki KINDO	
FILING DATE July 31, 2001	GROUP ART UNIT 2121

LIST OF REFERENCES CITED BY APPLICANT
(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
						RECEIVED
						MAY 04 2004

Technology Center 2100

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO PART.
<i>OM. H.</i>	1. JP 06243173 A	9/1994	JAPAN			*

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>OM. H.</i>	2.	"Filtered Information and Intelligent Agent Software" by M. Ojala. <u>Business Information Alert</u> , Vol. 8, No. 6, c. 1995.
	3.	"A Machine Learning Approach to Document Retrieval: An Overview and an Experiment" by H. Chen. <u>Proceedings of the 27th Annual Hawaii Int'l Conference on System Sciences</u> , Vol. 3, pgs. 631-640; c. 1994.
<i>OM. H.</i>	4.	"Information Extraction from Electronic Mail" by A. Kawai et al. <u>Information Processing Society Technical Report</u> , pgs. 57-64 (with English translation of relevant portions), c. 1994
	5.	"A Method for Retrieval of a Target Still-Image By Selecting-Similar-and-Dissimilar Images" by T. Nomura, et al. <u>Information Processing Society of Japan</u> , No. 4, pgs. 204-206, c. 1992

EXAMINER

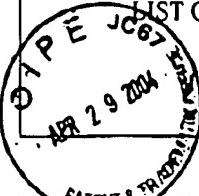
Heba H.

DATE CONSIDERED

Aug 17 2004

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant:

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 041-1894D	SERIAL NO. 09/917,956
LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		APPLICANT Toshiki KINDO	
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						MAY 04 2004

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FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO PART.
<i>M.W.</i>	1. JP 06243173 A	9/1994	JAPAN			X

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>M.W.</i>	2.	"Filtered Information and Intelligent Agent Software" by M. Ojala. <u>Business Information Alert</u> , Vol. 8, No. 6, c. 1995.
<i>M.W.</i>	3.	"A Machine Learning Approach to Document Retrieval: An Overview and an Experiment" by H. Chen. <u>Proceedings of the 27th Annual Hawaii Int'l Conference on System Sciences</u> , Vol. 3, pgs. 631-640; c. 1994.
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	5.	"A Method for Retrieval of a Target Still Image By Selecting Similar and Dissimilar Images" by T. Nomura, et al. <u>Information Processing Society of Japan</u> , No. 4, pgs. 204-206, c. 1992

EXAMINER
M. W.

DATE CONSIDERED

August 4, 2004

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**INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION**
(PTO-1449)

ATTY. DOCKET NO.
041-1894D
Division of prior
S/N 09/506,600

SERIAL NO.
Not yet assigned

APPLICANT **Toshiki KINDO**

FILING DATE **7/31/01** GROUP **Not Yet Assigned**

11026 JLS PRO
09/917956
10/31/01

U.S. PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
<i>OJala</i>	5,048,100	Sept. 1991	Kuperstein			
	5,226,092	July 1993	Chen			
	5,446,891	Aug. 1995	Kaplan et al.			
	5,535,382	July 1996	Ogawa			
	5,619,709	April 1997	Caid et al.			
	5,692,176	Nov. 1997	Holt et al.			
	5,724,567	March 1998	Rose et al.			
	5,802,504	Aug. 1998	Suda et al.			
	5,832,465	Nov. 1998	Tom			
	5,855,015	Dec. 1998	Shoham			
	5,907,841	May 1999	Sumita et al.			
	6,064,971	May 2000	Hartnett			
<i>OJala</i>	6,067,535	May 2000	Hobson et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation
	06-243173	Sept 1994	Japan (w/ English Abstract)			

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

M. Ojala, "Filtered Information and Intelligent Agent Software", Business Information Alert, vol. 8, no. 6
H. Chen, "A machine learning approach to document retrieval: an overview and an experiment", Proceedings of the 27 th Annual Hawaii International Conference on System Sciences, vol. 3, pp. 631-40
"INFORMATION EXTRACTION FROM ELECTRONIC MAIL", A. Kawai et al., Information Processing Society Technical Report, Natural Language Processing 101-8 (1994, 5, 27); pp., 57 - 64 (w/partial English-translation)
T. Nomura et al., "A Method for retrieval of a target still image by selecting similar and dissimilar images", Proceedings of 45 th National Conference, Information Processing Society of Japan, No. 4, p.4-204 - 4-206
B. Sheth et al., "Evolving Agents for Personalized Information Filtering", Proceedings of 9 th Conference on Artificial Intelligence for Applications, IEEE Computer Society Press, pp. 345-352, 1993
Schapire et al., "Boosting and Rocchio Applied to Text Filtering", SIGIR ACM pp. 215-223, 8/1998
Syed et al "A study of support vectors on model independent example selection", KDD ACM p272-6; 7/99
Merhav et al "Universal sequential learning and decision from individual data sequence" COLT ACM pp 413-427, 8/1992
Blum et al. "On line learning and metrical task system problem", COLT ACM pp 45-53, 6/1997

EXAMINER

Ojala

DATE CONSIDERED

December 19, 2001

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.